



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/849,510         | 05/04/2001          | Xi Li                 | SAVI-001               |

CONFIRMATION NO. 8687

## FORMALITIES LETTER



\*OC000000006262747\*

David B. Ritchie  
THELEN REID & PREIST LLP  
P.O. Box 640640  
San Jose, CA 95164-0640

Date Mailed: 07/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$434.
  - \$234 for 26 total claims over 20.
  - \$200 for 5 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 854.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below

The required item(s) identified below must be timely submitted to avoid abandonment:

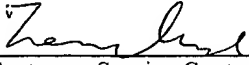

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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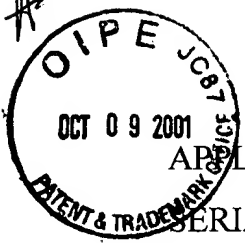
*A copy of this notice MUST be returned with the reply.*

   
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

106001-0154350



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Xi Li, et al.  
SERIAL NO.: 09/849,510  
FILING DATE: May 4, 2001  
TITLE: SUPPLY CHAIN VISIBILITY FOR REAL-TIME TRACKING OF GOODS  
EXAMINER: (to be assigned)  
ART UNIT: 2171

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box MISSING PARTS, Commissioner for Patents, Washington, DC 20231, on the date printed below:

Date: October 4, 2001

Name:

*Sharon E. Byam*  
Sharon E. Byam

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Responsive to the Notice to File Missing Parts dated July 5, 2001, please find the following:

1. Declaration & Power of Attorney executed by the inventor(s);
2. Substitute Drawings in compliance with 37 CFR §1.84;
3. Copy of Notice to File Missing Parts; and
4. Check in the amount of \$854.00 for filing fee and surcharge for assignee as

a small entity calculated as follows:

|   |                 |
|---|-----------------|
| Basic filing fee - Fee Code 201                         | \$ 355.00       |
| 5 additional independent claims - Fee Code 202          | \$ 200.00       |
| 26 additional claims in excess of twenty - Fee Code 203 | \$ 234.00       |
| <u>Surcharge - Fee Code 205</u>                         | <u>\$ 65.00</u> |
| Total   | \$ 854.00       |

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1698. A duplicate of this transmittal page is enclosed.

Respectfully submitted,  
THELEN REID & PRIEST LLP



Marc S. Hanish  
Reg. No. 42,626

Dated: October 4, 2001

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FOOTNOTES